Texas A&M University-San Antonio’s Core Values
Integrity / Service / Commitment / Excellence

Outline of Compliance Program

1. Established Policies, Regulations, Rules and Procedures
   a. Federal/State/Local - Laws & Statutes
   b. TAMU System Policies & Regulations
   c. TAMU-SA Rules & Procedures

2. Oversight
   a. TAMU System
   b. TAMU-SA President
   c. Executive Team

3. Adequate Education and Training
   a. War Room Updates
   b. President’s Leadership Council Discussions
   c. Compliance Training Sessions

4. Effective Lines of Communication
   a. Compliance Committee Meetings
   b. Departmental Updates
   c. Biweekly Compliance Update Meetings between the President and the Manager of Institutional Compliance

5. Enforcing Standards through Disciplinary Action
   a. Holding employees accountable for meeting legal and ethical obligations
   b. Consistency in enforcement. A compliance program will only be effective if it is supported by University Leadership and it is understood by all constituents.
   c. Disciplinary action may be necessary to correct non-compliant behavior or for failing to take reasonable steps to prevent or detect criminal conduct.

6. Internal Monitoring
   a. System Auditors
   b. Compliance Reviews
   c. Compliance Committee Members monitoring the areas they represent

7. Appropriate Response and Corrective Action
   TAMU-SA will provide quick response to any compliance violations. The President, Manager of Institutional Compliance and Compliance Committee will work together with the appropriate Division Head to develop an action plan to prevent future occurrences of the violations.

8. Outside Audit
   In order to have a truly effective compliance program, periodic assessments or audits may need to be done by external parties.

The Office of Institutional Compliance is here to help you do the right thing!

Office of Institutional Compliance
Main Campus - Room 363
Telephone (210) 784-2560
One University Way, San Antonio, TX 78224